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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,512	2/08/2002	Tod M. Woolf	SRI-014

000959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 3457

## FORMALITIES LETTER



\*OC000000007626661\*

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ORIGINALLY FILED**

Date Mailed: 03/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/26/2002 BABRAHA1 00000021 120080 10071512

01 FC:105

130.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

V. G. -

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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\* Admitted in NY only  
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Box 599

June 13, 2002

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**COPY OF PAPERS  
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Re: U.S. Patent Application Serial No. 10/071,512  
Filed: February 8, 2002  
Title: Methods of Light Activated Release of Ligands from Endosomes  
Inventor: Tod M. Woolf  
Attorney's Docket No. SRI-014

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice to File Missing Parts (2 pages) (in duplicate);
2. Electronic Copy of the Sequence Listing;
3. Paper Copy of the Sequence Listing (3 pages);
4. Executed Declaration, Petition, and Power of Attorney (4 pages);
5. Copy of Formalities Letter;
6. Request for One-Month Extension of Time (1 page) (in duplicate); and
7. Return Mailroom Postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, DC 20231 on:

June 13, 2002

Date

Giulio A. DeConti, Jr., Esq. Reg. No. 31,503

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Giulio A. DeConti, Jr., Esq.  
Reg. No. 31,503  
Attorney for Applicant

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: Tod M. Woolf

Serial No.: 10/071,512

Filed: February 8, 2002

For: Methods of Light Activated Release of Ligands  
from Endosomes

Attorney Docket No.: SRI-014

Group Art Unit: 1615

Examiner: Not yet assigned

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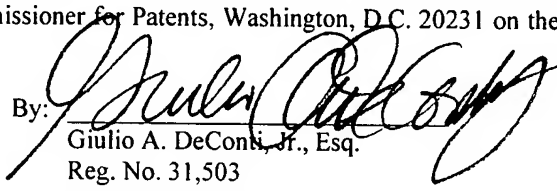
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6-13-02

Date of Signature and of Mail Deposit

By:

  
Giulio A. DeConti, Jr., Esq.  
Reg. No. 31,503  
Attorney for Applicant

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NON-PROVISIONAL APPLICATION**

Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated March 13, 2002, Applicant's attorney submits herewith one executed Declaration, Petition and Power of Attorney for Patent Application for the above-identified patent application. Please charge our Deposit Account No. 12-0080 in the amount of \$130.00 to cover the surcharge, based on large entity status. A Request for One-Month Extension of Time is submitted herewith. A copy of Formalities Letter is also enclosed.

Prior to examination of the above-identified application, please amend the application as follows: